

CORPORATION BACKGROUND STATEMENT

Read reverse side before completing.

RE 212 (Rev. 1/16)

BACKGROUND INFORMATION

1. NAME OF DIRECTOR, OFFICER, STOCKHOLDER, ETC. (SEE REVERSE.)

2. CORPORATION NAME	3. CalBRE CORP. LICENSE ID# (IF ISSUED)
4. POSITION/TITLE WITH CORPORATE LICENSE APPLICANT	5. BIRTH DATE

6. WITHIN THE LAST TEN YEARS, HAVE YOU RECEIVED AN ORDER OR JUDGMENT ISSUED BY A COURT OR GOVERNMENTAL AGENCY TEMPORARILY OR PERMANENTLY RESTRAINING OR ENJOINING ANY BUSINESS CONDUCT, PRACTICE, OR EMPLOYMENT?

Yes No IF YES, COMPLETE THE FOLLOWING.

DATE OF ACTION	AGENCY/COURT	CASE #	ADDRESS	EXPLANATION

7. WITHIN THE LAST TEN YEARS, HAVE YOU HAD A BUSINESS/PROFESSIONAL LICENSE TO PRACTICE IN REAL ESTATE OR OTHER REGULATED PROFESSION, OCCUPATION, OR VOCATION DENIED, SUSPENDED, RESTRICTED, OR REVOKED IN CALIFORNIA OR ANY OTHER STATE? ATTACH ADDITIONAL SHEETS IF NECESSARY.

Yes No IF YES, COMPLETE THE FOLLOWING.

TYPE OF LICENSE	LICENSE EXPIRATION DATE	LICENSE IDENTIFICATION #	STATE (ISSUED BY)

8. WITHIN THE LAST TEN YEARS, HAVE YOU CONDUCTED ACTIVITIES INVOLVING ACTS REQUIRING A REAL ESTATE LICENSE OF ANY STATE WITHOUT THE BENEFIT OF A VALID LICENSE OR PERMIT AUTHORIZING THAT CONDUCT, WHICH HAVE BEEN ENJOINED BY A COURT OF LAW OR ADMINISTRATIVE TRIBUNAL?

Yes No IF YES, COMPLETE THE FOLLOWING.

COURT OF CONVICTION	CASE #	ARRESTING AGENCY	DATE OF CONVICTION
CODE NUMBER VIOLATED	CODE SECTION VIOLATED	DISPOSITION	

"Convicted" as used in question 9 below includes:

- All state, commonwealth, possession, or federal misdemeanor and felony convictions, and all military and foreign convictions.
- A verdict of guilty by judge or jury, a plea of guilty, a plea of nolo contendere (or "no contest"), or a forfeiture of bail in the courts.
- **Convictions expunged under Penal Code sections 1203.4, 1203.4a and 1203.41 or equivalent non-California statute must still be disclosed. Proof that a conviction has been expunged under Penal Code sections 1203.4, 1203.4a and 1203.41 must be submitted with the application.**
- Convictions must be disclosed no matter how long ago they occurred and whether the convicted person was a minor (under 18 years of age, if tried as an adult).

Exceptions to "Convicted": DO NOT DISCLOSE

- Any Juvenile Court adjudication.
- Any conviction sealed under Penal Code section 1203.45 or Welfare and Institutions Code section 781.
- Any conviction under Health and Safety Code section 11357(b), (c), (d), or (e), or Health and Safety Code section 11360(b) **AFTER** at least two years have passed since the date of the conviction.

9. WITHIN THE LAST TEN YEARS, HAVE YOU BEEN CONVICTED OF ANY VIOLATION OF LAW? (YOU MAY OMIT MINOR TRAFFIC CITATIONS WHICH DO NOT CONSTITUTE A MISDEMEANOR OR FELONY.) ATTACH ADDITIONAL SHEETS IF NECESSARY.

Yes No IF YES, COMPLETE THE FOLLOWING.

COURT OF CONVICTION	ARRESTING AGENCY	DATE OF CONVICTION
CODE NUMBER VIOLATED	CODE SECTION VIOLATED	DISPOSITION

CERTIFICATION

I declare under penalty of perjury that the answers and statements given in this Background Statement are true and correct except where stated upon information and belief.

❖ **You should review your application to insure it has been properly completed. Failure to complete required portions of the application could result in either processing delays or denial of the license.**

10. SIGNATURE OF DIRECTOR, CHIEF EXECUTIVE OFFICER, ETC.	11. DATE
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INSTRUCTIONS

- ❖ A separate RE 212 must be completed by each director, chief executive officer, president, first level vice presidents, secretary, chief financial officer, subordinate officers with responsibility for forming policy of the corporation and all natural persons owning or controlling more than ten percent of its shares who have an affirmative response to one or more of questions 6 through 9.
- ❖ *Please type or print in ink.*
- ❖ One individual per form. Reproduce as necessary. (Only legible photocopies are acceptable; however, signatures must be originals.)
- ❖ If you electronically re-create this form to facilitate completion on a computer, please be advised that the form should not be altered in any manner. To do so, could result in disciplinary action. Also, please make certain you do not delete any preprinted information and are using the latest version of the form.
- ❖ Attach additional pages if more space is needed; each additional page must be appropriately numbered to correspond to the question being answered and signed by the applicant.
- ❖ ***Failure to provide complete answers to all of the following questions could result in either processing delays or denial of the license.***

PRIVACY INFORMATION:

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Each individual has the right to review personal information maintained by this Agency, unless access is exempted by law.

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General powers of the Commissioner, Sections 10050, 10071, and 10075 of the Business and Professions Code authorizes the maintenance of this information.

Business and Professions Code Sections 30, 31(e) and 494.5(d) require each real estate licensee to initially provide to the Bureau of Real Estate his or her social security number which will be furnished to the Franchise Tax Board. The Franchise Tax Board will use your number to establish identification exclusively for tax purposes. These code

sections also require that each application for a new license or renewal provides notification on the application, that the Board of Equalization and the Franchise Tax Board will share taxpayer information with the Bureau of Real Estate, and that failure to pay tax obligations may result in a suspension or denial of a license. The Board of Equalization and Franchise Tax Board require the Bureau to collect social security numbers and federal taxpayer identification numbers for the purposes of matching the names of the 500 largest tax delinquencies pursuant to Section 7063 or 19195 of the Revenue and Taxation Code. Your social security numbers and federal taxpayer identification number shall not be deemed public records and shall not be open to the public for inspection.

The Real Estate Law and the Regulations of the Commissioner require applicants to provide the Bureau with specific information. If all or any part of the required information is not provided, processing may be delayed. In addition, the Commissioner may suspend or revoke a

license, or in the case of a license applicant, may deny the issuance for misstatements of facts (including a failure to disclose a material fact) in an application for a license.

The information requested in this form is primarily used to furnish license status information to the Bureau's Enforcement Section, and to answer inquiries and give information to the public on license status, mailing and business addresses and actions taken to deny, revoke, restrict or suspend licenses for cause.

This information may be transferred to real estate licensing agencies in other states, law enforcement agencies (City Police, Sheriff's Departments, District Attorneys, Attorney General, F.B.I.), and any other regulatory agencies (i.e., Department of Business Oversight, Department of Insurance, Department of Consumer Affairs, California Bar Association).